

ON THE SPECTRUM OF THE JOHNSON GRAPHS $J(n, k, r)$

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ABSTRACT. It is known that the spectrum of the Johnson graph $J(n, k, r)$ can be obtained using Eberlein polynomials, as set forth in an article from 1973 by P. Delsarte and in a more recent work by M. Krebs and A. Shaheen. In this article we give a formula that describes the spectrum of the Johnson graph, which we obtain independently of the Eberlein polynomials, using instead the realization of the irreducible representations of the symmetric group \mathfrak{S}_n in the polynomial ring.

1. INTRODUCTION

It is known that the spectrum of the Johnson graph $J(n, k, r)$ can be obtained using Eberlein polynomials, as set forth in [2] and [4]. In this article we give a formula that describes the spectrum, obtained independently of the Eberlein polynomials, using instead the realization of the irreducible representations of the symmetric group \mathfrak{S}_n in the polynomial ring such as is discussed in [1].

In all that follows we denote by \mathbb{N}_0 the set of nonnegative integer numbers, by I_n the set $\{1, 2, \dots, n\}$, by \mathbb{C} the set complex numbers, and by \mathcal{P} the polynomial ring $\mathbb{C}[x_1, \dots, x_n]$.

Let Γ be a graph and G a subgroup of the automorphisms of Γ . Let M be the incidence matrix of Γ and let V be the complex vector space generated by the set of vertices of Γ . The group G operates on V in a natural way, induced from the permutation action of G on the vertices of Γ . The matrix M induces a morphism in V given by $Y(v) = \sum_{w \sim v} w$, where $w \sim v$ means that (w, v) is an edge of Γ .

It is not difficult to see that Y belongs to the centralizer of G in $\text{End}_{\mathbb{C}}(V)$, so that Y stabilizes the isotypic components of V with respect to G .

A special case occurs when V is multiplicity-free with respect to G . In this case, the isotypic components of V are part of the eigenspaces of Y , so that the spectrum of Γ can be known by evaluating Y at a nonzero element of each isotypic component.

This is the situation that corresponds to a Johnson graph, where also V can be identified with a subspace of $\mathbb{C}[x_1, \dots, x_n]$ and the symmetric group \mathfrak{S}_n takes the role of G .

The spectrum of Johnson graphs is known and has been treated by several authors. Delsarte in [2] realizes that this spectrum can be established using Eberlein polynomials introduced in [3]. From the perspective of the theory of representations of the symmetric group, the result of Delsarte is given in [4]. In this article we give a closed formula for the spectrum of $J(n, k, r)$, independent of Eberlein polynomials; this formula is obtained from the realization given in [1], of the irreducible representations of the symmetric group \mathfrak{S}_n in the ring of polynomials \mathcal{P} .

2. JOHNSON GRAPHS

The vertices of a Johnson graph $J(n, k, r)$ are the subsets of $\{1, 2, \dots, n\}$ whose cardinality is k with $2k \leq n$. In this graph, two vertices P and Q are joined by an edge if $|P \cap Q| = k - r$.

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The vertices of $J(n, k, r)$ can be naturally identified with a family of monomials as follows. If $P = \{i_1, i_2, \dots, i_k\}$ is a vertex of the graph, P is associated with the monomial $x_{i_1} x_{i_2} \cdots x_{i_k}$. So, the space of vertices V is identified as \mathfrak{S}_n -module with the space \mathcal{S}_k generated by the family of monomials $x_{i_1} x_{i_2} \cdots x_{i_k}$, where \mathfrak{S}_n acts by permutation of the variables, that is, with the natural action of \mathfrak{S}_n in $\mathbb{C}[x_1, \dots, x_n]$.

To simplify the notation, we will use:

$$x_{i_1} x_{i_2} \cdots x_{i_k} = x^\alpha = x_1^{\alpha_1} x_2^{\alpha_2} \cdots x_n^{\alpha_n},$$

where $\alpha : \{1, 2, \dots, n\} \rightarrow \mathbb{N}_0$ is the characteristic function of the set P .

As we shall see, \mathcal{S}_k is a multiplicity-free \mathfrak{S}_n -module and we will select a non-zero element in each isotypic component, to obtain the spectrum of $J(n, k, r)$. Moreover, the operators, Υ_r , associated with the incidence matrix of the graph $J(n, k, r)$ will be expressed in terms of certain symmetric operators to facilitate their evaluation at elements of the isotypic components.

It is appropriate to note that

$$\Upsilon_r(x^\alpha) = \sum_{\|\beta - \alpha\|^2 = 2r} x^\beta, \quad (1)$$

where β runs the characteristic functions of subsets with k elements of $\{1, 2, \dots, n\}$ and

$$\|\beta - \alpha\|^2 = \sum_{i=1}^n (\alpha_i - \beta_i)^2.$$

3. IRREDUCIBLE REPRESENTATIONS OF \mathfrak{S}_n

Representations of \mathfrak{S}_n induced by the trivial representation of certain subgroups, called parabolic subgroups, can be realized naturally in subspaces of \mathcal{P} . In these representations, an irreducible subrepresentation is distinguished. The symmetric operator given in (2) was introduced in [1] in order to characterize the space associated with this subrepresentation.

Let $\lambda = (\lambda_0, \lambda_1, \dots, \lambda_m)$ be a partition of n . Associated with λ we consider the set of multi-indices \mathcal{O}_λ given by

$$\mathcal{O}_\lambda = \{ \alpha : I_n \rightarrow \mathbb{N}_0 : |\alpha^{-1}(i)| = \lambda_i, 0 \leq i \leq m \}.$$

Clearly \mathcal{O}_λ is a \mathfrak{S}_n -orbit in the set $\mathcal{M} = \{ \alpha : I_n \rightarrow \mathbb{N}_0 \}$ under the action

$$\pi \cdot \alpha = \alpha \pi^{-1}, \quad \pi \in \mathfrak{S}_n, \alpha \in \mathcal{M}.$$

Denote by \mathcal{S}_λ the subspace of \mathcal{P} given by

$$\mathcal{S}_\lambda = \left\{ \sum_{\alpha \in \mathcal{O}_\lambda} c_\alpha x_1^{\alpha_1} \cdots x_n^{\alpha_n} : c_\alpha \in K \right\}.$$

Note that \mathcal{S}_λ is a \mathfrak{S}_n -module under the natural action of \mathfrak{S}_n on \mathcal{P} .

Let ∂ be the symmetric differential operator defined as

$$\partial = \sum_{i=1}^n \frac{\partial}{\partial x_i}. \quad (2)$$

We have $\pi \partial \pi^{-1} = \partial, \forall \pi \in \mathfrak{S}_n$, where π is considered as an automorphism of \mathcal{P} .

Let \mathcal{S}_λ^0 be the \mathfrak{S}_n -submodule of \mathcal{S}_λ given by

$$\mathcal{S}_\lambda^0 = \{ P \in \mathcal{S}_\lambda : \partial(P) = 0 \}.$$

Theorem 1. *i) \mathcal{S}_λ^0 is a simple \mathfrak{S}_n -module.*

ii) If $\lambda \neq \mu$ are partitions of n , then $\mathcal{S}_\lambda^0 \not\cong \mathcal{S}_\mu^0$.

Proof. See [1]. □

Note that for the partitions λ of the form (l, k) with $l + k = n$, $l \geq k$, \mathcal{S}_λ coincides with \mathcal{S}_k , the space associated with the vertices of a Johnson graph.

For $0 \leq i \leq k$ we define the polynomial ξ_i as

$$\xi_i = \prod_{j=1}^i (x_{2j-1} - x_{2j}) \times \phi_{k-i}(x_{2i+1}, \dots, x_n), \quad (3)$$

where $\phi_m(x_1, x_2, \dots, x_h)$ is the symmetric polynomial

$$\phi_m(x_1, x_2, \dots, x_h) = \sum_{1 \leq i_1 < i_2 < \dots < i_m \leq h} x_{i_1} x_{i_2} \cdots x_{i_m},$$

where $\phi_0 = 1$.

The following proposition shows that \mathcal{S}_k is multiplicity-free and also that the family ξ_i ($0 \leq i \leq k$) runs the isotypic components of \mathcal{S}_k .

Proposition 2. *i) $\mathcal{S}_k = \bigoplus_{i=0}^k \mathcal{S}_k^i$, where $\mathcal{S}_k^i \simeq \mathcal{S}_i^0$ as \mathfrak{S}_n -modules.*

ii) Let ξ_i be as in (3), then $\xi_i \in \mathcal{S}_k^i$.

Proof. *i)* First we show that $\partial : \mathcal{S}_k \rightarrow \mathcal{S}_{k-1}$ is a surjective morphism. We put $I = \{1, 2, \dots, k-1\}$ and $J = \{k, k+1, \dots, 2k-1\}$. Denote by ϕ_m^I and ϕ_m^J the symmetric polynomials in variables x_1, \dots, x_{k-1} and x_k, \dots, x_{2k-1} respectively. Let α_m and β_m the sequences given by

$$\partial \phi_m^I = \alpha_m \phi_{m-1}^I, \quad \text{with } 1 \leq m \leq k-1$$

$$\partial \phi_m^J = \beta_m \phi_{m-1}^J, \quad \text{with } 1 \leq m \leq k$$

and γ_i are the scalars defined by

$$\gamma_i = (-1)^{i+1} \frac{\alpha_{k-1} \alpha_{k-2} \cdots \alpha_{k-i+1}}{\beta_1 \beta_2 \cdots \beta_i}.$$

Let P be the polynomial

$$P = \sum_{i=1}^{k-1} \gamma_i \phi_{k-i}^I \phi_i^J,$$

where $\phi_0^I = 1$. It is clear that $P \in \mathcal{S}_k$ since the number of variables n is greater than or equal to $2k$.

From the identity $\alpha_{k-i} \gamma_i + \beta_{i+1} \gamma_{i+1} = 0$, it follows that

$$\begin{aligned} \partial(P) &= \sum_{i=1}^{k-1} \gamma_i \alpha_{k-i} \phi_{k-i-1}^I \phi_i^J + \sum_{i=1}^{k-1} \gamma_i \beta_i \phi_{k-i}^I \phi_{i-1}^J \\ &= \gamma_1 \beta_1 \phi_{k-1}^I + \sum_{i=2}^{k-1} (\alpha_{k-i} \gamma_i + \beta_{i+1} \gamma_{i+1}) \phi_{k-i-1}^I \phi_i^J \\ &= \phi_{k-1}^I = x_1 x_2 \cdots x_{k-1}. \end{aligned}$$

Thus $x_1 x_2 \cdots x_{k-1}$ belongs to the image of $\partial : \mathcal{S}_k \rightarrow \mathcal{S}_{k-1}$, but this is a \mathfrak{S}_n -module, so the image is \mathcal{S}_{k-1} . Moreover, the kernel of this map is precisely the simple \mathfrak{S}_n -module \mathcal{S}_k^0 , thus

$$\mathcal{S}_k \simeq \mathcal{S}_k^0 \oplus \mathcal{S}_{k-1}.$$

Proceeding recursively, the proof of *i)* concludes.

ii) Let \mathcal{P}_k be the homogeneous component of degree k of \mathcal{P} and $\pi_k : \mathcal{P}_k \rightarrow \mathcal{S}_k$ the orthogonal projection associated with the inner product $\langle \cdot, \cdot \rangle$ defined by bilinearity from identities

$$\langle x^\alpha, x^\beta \rangle = \delta_{\alpha, \beta}.$$

We define $\mathcal{F} : \mathcal{S}_i^0 \rightarrow \mathcal{S}_k$ by

$$\mathcal{F}(P) = \pi_k(P \times \phi_{k-i}(x_1, x_2, \dots, x_n)).$$

\mathcal{F} is a \mathfrak{S}_n -morphism, since π_k is a \mathfrak{S}_n -morphism and $\phi_{k-i}(x_1, x_2, \dots, x_n)$ is a symmetric polynomial. Denote by $\zeta^i \in \mathcal{S}_i^0$ the polynomial $\prod_{j=1}^i (x_{2j-1} - x_{2j})$ and by ζ_i^i the polynomial

$$\prod_{j=1, j \neq i}^i (x_{2j-1} - x_{2j}).$$

$$\begin{aligned} \phi_{k-i}(x_1, x_2, \dots, x_n) &= (x_1 + x_2) \phi_{k-i-1}(x_3, \dots, x_n) \\ &\quad + x_1 x_2 \phi_{k-i-2}(x_3, \dots, x_n) + \phi_{k-i}(x_3, \dots, x_n) \end{aligned}$$

we have

$$\begin{aligned} \zeta^i \times \phi_{k-i}(x_1, x_2, \dots, x_n) &= \zeta_1^i (x_1^2 - x_2^2) \phi_{k-i-1}(x_3, \dots, x_n) \\ &\quad + \zeta_1^i (x_1^2 x_2 - x_1 x_2^2) \phi_{k-i-2}(x_3, \dots, x_n) \\ &\quad + \zeta^i \phi_{k-i}(x_3, \dots, x_n); \end{aligned}$$

thus

$$\begin{aligned} \mathcal{F}(\zeta^i) &= \pi_k(\zeta^i \times \phi_{k-i}(x_1, x_2, \dots, x_n)) \\ &= \pi_k(\zeta^i \phi_{k-i}(x_3, \dots, x_n)) \end{aligned}$$

and recursively $\mathcal{F}(\zeta^i) = \xi_i$ is obtained. We conclude that $\xi_i \in \mathcal{S}_k^i$. \square

Remark 1. *The proof of i) in the previous proposition could be simpler if it were shown that $\dim(\mathcal{S}_k^0) = \binom{n}{k} - \binom{n}{k-1}$, but in our opinion the demonstration given is more constructive.*

4. THE SPECTRUM

We consider the operator $\mathcal{D}_r : \mathcal{S}_k \rightarrow \mathcal{S}_k$ given by

$$\mathcal{D}_r = \pi_k \circ \mu_r \circ \frac{1}{r!} \partial^r, \quad (4)$$

where π_k is as before and $\mu_r(P) = P \times \phi_r(x_1, \dots, x_n)$, i.e., multiplication by the elementary symmetric polynomial of degree r . Clearly \mathcal{D}_r is a \mathfrak{S}_n -morphism of \mathcal{S}_k , because each one of its factors is a \mathfrak{S}_n -morphism.

Proposition 3. *Let \mathcal{D}_r and Υ_i be defined as in (4) and (1), respectively. Then we have:*

i)

$$\mathcal{D}_r = \sum_{i=0}^r \binom{k-i}{r-i} \Upsilon_i.$$

ii) $\mathcal{D}_r(\xi_i) = \mu_r^i \xi_i$, where

$$\mu_r^i = \begin{cases} \binom{k-i}{r} \binom{n-k+r-i}{r} & \text{if } 0 \leq i \leq k-r, \\ 0 & \text{if } i > k-r. \end{cases}$$

Proof. Evaluate $\mathcal{D}_r(x^\alpha)$ with $\text{Im}(\alpha) = \{i_1, \dots, i_k\}$. Assuming $r \leq k$, we have

$$\frac{1}{r!} \partial^r(x^\alpha) = \phi_{k-r}(x_{i_1}, \dots, x_{i_k}).$$

In the product

$$\phi_{k-r}(x_{i_1}, \dots, x_{i_k}) \phi_r(x_1, \dots, x_n)$$

the terms that π_k does not annihilate are those formed by multiplying a monomial of $\phi_{k-r}(x_{i_1}, \dots, x_{i_k})$ with a monomial of $\phi_r(x_1, \dots, x_n)$ without common factors, so that the monomials in \mathcal{S}_k that have between k and $k-r$ variables in the set $\{x_{i_1}, \dots, x_{i_k}\}$ are reconstructed. Indeed, let $x^\beta \in S_k$ such that $k-r \leq |\text{Im}(\alpha) \cap \text{Im}(\beta)| \leq k$, put $J = \text{Im}(\alpha) \cap \text{Im}(\beta)$ and $m = |J|$. For each subset $K \subseteq J$ such that $|K| = k-r$, we have

$$x^\beta = \prod_{k \in K} x_k \times \prod_{l \in \text{Im}(\beta) - K} x_l. \quad (5)$$

The first factor is a term of $\phi_{k-r}(x_{i_1}, \dots, x_{i_k})$ and the second factor is a term of $\phi_r(x_1, \dots, x_n)$. The decomposition in (5) can be obtained by $\binom{m}{k-r} = \binom{m}{m-k+r}$ ways, and putting $m = k-i$, ($0 \leq i \leq r$), this number is $\binom{k-i}{r-i}$.

In conclusion, in the product $\phi_{k-r}(x_{i_1}, \dots, x_{i_k}) \phi_r(x_1, \dots, x_n)$, the terms that are not canceled who share exactly $k-i$ variables x^α appear with multiplicity $\binom{k-i}{r-i}$. It follows that

$$\pi_k(\phi_{k-r}(x_{i_1}, \dots, x_{i_k}) \phi_r(x_1, \dots, x_n)) = \sum_{i=0}^r \binom{k-i}{r-i} \Upsilon_i(x^\alpha);$$

thus

$$\mathcal{D}_r = \sum_{i=0}^r \binom{k-i}{r-i} \Upsilon_i. \quad (6)$$

ii) We evaluate $\mathcal{D}(\xi_i)$. As ∂ is a derivation, it holds that $\partial(PQ) = P\partial(Q)$ if $\partial(P) = 0$. Moreover $\partial(x_i - x_j) = 0$ and from the expression of ξ_i in (3) yields

$$\begin{aligned} \frac{1}{r!} \partial^r(\xi_i) &= \prod_{j=1}^i (x_{2j-1} - x_{2j}) \times \frac{1}{r!} \partial^r \phi_{k-i}(x_{2i+1}, \dots, x_n) \\ &= \binom{n-k+r-i}{r} \prod_{j=1}^i (x_{2j-1} - x_{2j}) \phi_{k-i-r}(x_{2i+1}, \dots, x_n); \end{aligned} \quad (7)$$

here, the following identity was used:

$$\partial^m \phi_h(x_1, x_2, \dots, x_l) = \frac{(l-h+m)!}{(l-h)!} \phi_{h-m}(x_1, x_2, \dots, x_l).$$

The remainder is similar to the proof of ii) Proposition 2. Again, we put $\zeta^i = \prod_{j=1}^i (x_{2j-1} - x_{2j})$,

$\zeta_l^i = \prod_{j=1, j \neq l}^i (x_{2j-1} - x_{2j})$ and $\psi = \phi_{k-i-r}(x_{2i+1}, \dots, x_n)$. Writing

$$\begin{aligned} \phi_r(x_1, x_2, \dots, x_n) &= (x_1 + x_2) \phi_{r-1}(x_3, \dots, x_n) \\ &\quad + x_1 x_2 \phi_{r-2}(x_3, \dots, x_n) + \phi_r(x_3, \dots, x_n) \end{aligned}$$

we have

$$\begin{aligned} \zeta^i \psi \phi_r(x_1, x_2, \dots, x_n) &= \psi \zeta_1^i (x_1^2 - x_2^2) \phi_{r-1}(x_3, \dots, x_n) \\ &\quad + \psi (x_1^2 x_2 - x_1 x_2^2) \phi_{r-2}(x_3, \dots, x_n) \\ &\quad + \psi \zeta^i \phi_r(x_3, \dots, x_n), \end{aligned}$$

so that

$$\pi_k(\zeta^i \psi \phi_r(x_1, x_2, \dots, x_n)) = \pi_k(\psi \zeta^i \phi_r(x_3, \dots, x_n))$$

and reiterating the process

$$\pi_k(\zeta^i \psi \phi_r(x_1, x_2, \dots, x_n)) = \pi_k(\psi \zeta^i \phi_r(x_{2i+1}, \dots, x_n)).$$

To evaluate the second member of the previous identity, we use the same argument as in the proof of *i*) of this proposition; that is,

$$\pi_k \left(\zeta^i \phi_{k-i-r}(x_{2i+1}, \dots, x_n) \phi_r(x_{2i+1}, \dots, x_n) \right) = \binom{k-i}{r} \zeta^i \phi_{k-i}(x_{2i+1}, \dots, x_n); \quad (8)$$

then *ii*) follows from identities (7) and (8). \square

Remark 2. The matrix (6) which links the families of operators \mathcal{D}_r and Υ_i is lower triangular and unipotent, that is, with ones on the diagonal. The same relationship holds for the eigenvalues of the operators

$$\mu_r^j = \sum_{i=0}^r \binom{k-i}{r-i} v_i^j \quad (9)$$

where $\Upsilon_i(\xi_j) = v_i^j \xi_j$. The eigenvalues of the family \mathcal{D}_r were established in Proposition 3. Using Cramer's rule in (9) the following result is obtained.

Theorem 4. The spectrum of $J(n, k, r)$ is given by

$$\det \begin{bmatrix} 1 & 0 & \cdots & 0 & \mu_0^i \\ \binom{k}{1} & 1 & \ddots & \vdots & \mu_1^i \\ \binom{k}{2} & \binom{k-1}{1} & 1 & 0 & \vdots \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ \binom{k}{r} & \cdots & \binom{k-r+2}{2} & \binom{k-r+1}{1} & \mu_r^i \end{bmatrix} \quad (10)$$

with $0 \leq i \leq k$ and where

$$\mu_m^i = \begin{cases} \binom{k-i}{m} \binom{n-k+m-i}{m} & \text{if } 0 \leq i \leq k-m, \\ 0 & \text{if } i > k-m. \end{cases}$$

We should clarify that the values given in (10) covering the spectrum of $J(n, k, r)$ do not take into account the multiplicities. Moreover, each value given in (10) is in the spectrum of $J(n, k, r)$; however, in principle it is not clear that the values in (10) are all different.

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